

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
**DBE - COMMITMENT**  
 DES-OE-0102.10D (REV 12/2014)

12-12-16A11:32 RCVD

12-15A11:32, RCVD

CONTRACT NO:  
 04-235524

BID AMOUNT:  
 \$ 18,729,433

BID OPENING DATE:  
 12/6/2016

BIDDER'S NAME:  
 DeSilva Gates Construction

DBE GOAL FROM CONTRACT %:  
 11%

DBE PRIME CONTRACTOR CERTIFICATION <sup>1</sup> :	TOTAL NUMBER OF ALL SUBCONTRACTS (DBE & NON-DBE)	TOTAL VALUE OF ALL SUBCONTRACTS (DBE & NON-DBE)
N/A	14	\$10,705,700

BID ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED <sup>2</sup>	WORK CATEGORY CODES <sup>3</sup>	NAME OF DBEs (Must be certified on the date bids are opened. Include Caltrans' certification no., DBE address, and phone number. Show 2nd and lower tier subcontractors)	AMOUNT (\$)
139	End Anchor Assembly (Type SFT)	C8320	Dirt & Aggregate Interchange 20905 N.E. Sandy Blvd. Fairview, Oregon 97024	7,500
140	Alternative In-Line Terminal System	C8320	503-661-5093 Certification No. 20	6,000
141	Alternative Flared Terminal System	C8320		13,750

Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to.

The names of the 1st tier DBE subcontractors and items of work must be consistent with the Subcontractor List (Pub Cont Code § 4100 et seq.).

<sup>1</sup>Each DBE prime contractor must enter its certification number and show all work to be performed by DBEs, including work performed by its own forces.

<sup>2</sup>If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

<sup>3</sup>Use Work Category Codes from the California Unified Certification Program database.

Total Claimed  
Participation

\$ See Total on  
last page

See Total on  
last page %

The bidder acknowledges that it is committed to use the DBEs shown on this form to meet the contract goal (49 CFR 26.53).

Signature on last page

Signature of Bidder Michael A. Kloos, Vice President

12/8/2016  
Date

925-829-9220  
(Area Code) Tel. No.

Mike Gates

Person to Contact (Please Type or Print)  
 dgcestimating@desilvagates.com

**ADA Notice**

For individuals with sensory disabilities, this document is available in alternate formats. For information, call (916) 445-1233, TTY 711, or write to Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

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142	Smart Crash Cushion	C1201	Dirt & Aggregate Interchange 20905 N.E. Sandy Blvd. Fairview, Oregon 97024	86,500
137	Midwest Guardrail System	C8320	503-661-5093 Certification No. 20	37,200
138	Transition Railing (Type WB-31)	C8320		18,000

Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to.

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DBE PRIME CONTRACTOR CERTIFICATION<sup>1</sup>: TOTAL NUMBER OF ALL SUBCONTRACTORS/DBEs BY NAME/DBE/ TOTAL VALUE OF ALL SUBCONTRACTS/DBEs BY NAME/DBE/

BID ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED <sup>2</sup>	WORK CATEGORY CODES <sup>3</sup>	NAME OF DBEs (Must be certified on the date bids are opened. Include Caltrans' certification no., DBE address, and phone number. Show 2nd and lower tier subcontractors)	AMOUNT (\$)
31	Remove Irrigation Facility	C9867	JJ Nguyen, Inc. 3172 Salem Drive San Jose, CA 95127 408-259-7982 Certification No. 35310	6,000
60	Roadside Clearing	C9867		3,000

Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to.

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TOTAL NUMBER OF ALL SUBCONTRACTS (DBE & NON-DBE)

TOTAL VALUE OF ALL SUBCONTRACTS (DBE & NON-DBE)

BID ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED <sup>2</sup>	WORK CATEGORY CODES <sup>3</sup>	NAME OF DBEs (Must be certified on the date bids are opened. Include Caltrans' certification no., DBE address, and phone number. Show 2nd and lower tier subcontractors)	AMOUNT (\$)
62	Maintain (E) Planted Areas	C9867	JJ Nguyen, Inc.	5,000
63	Decomposed Granite	C9867	3172 Salem Drive San Jose, CA 95127	6,200
64	Edging	C9867	408-259-7982 Certification No. 35310	3,200
65	24-32 Station Irrigation Controller	C9867		33,000

Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to.

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Total Claimed  
Participation

\$ See Total on  
last page

See Total on  
last page %

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 Signature of Bidder Michael A. Kloos, Vice President

12/8/2016  
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BIDDER'S NAME:	DeSilva Gates Construction
DBE GOAL FROM CONTRACT %:	11%

DBE PRIME CONTRACTOR CERTIFICATION <sup>1</sup> :	TOTAL NUMBER OF ALL SUBCONTRACTS (DBE & NON-DBE)	TOTAL VALUE OF ALL SUBCONTRACTS (DBE & NON-DBE)
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BID ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED <sup>2</sup>	WORK CATEGORY CODES <sup>3</sup>	NAME OF DBEs (Must be certified on the date bids are opened. Include Caltrans' certification no., DBE address, and phone number. Show 2nd and lower tier subcontractors)	AMOUNT (\$)
68	2" Plastic Pipe (Schd 40) (Supply Line)	C9867	JJ Nguyen, Inc. 3172 Salem Drive San Jose, CA 95127	1,200
69	4" Plastic Pipe (Schd 40) (Supply Line)	C9867	408-259-7982 Certification No. 35310	26,000
70	8" Corrugated High Density Polyethylene Pipe Conduit	C9867		23,400

Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to.

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<sup>3</sup>Use Work Category Codes from the California Unified Certification Program database.

Total Claimed  
Participation

\$ 275,950

1.47 %

The bidder acknowledges that it is committed to use the DBEs shown on this form to meet the contract goal (49 CFR 26.53).

*Michael A. Kloos*

Signature of Bidder Michael A. Kloos, Vice President

12/8/2016  
Date

925-829-9220  
(Area Code) Tel. No.

Mike Gates

Person to Contact (Please Type or Print)  
dgcestimating@desilvagates.com

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STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**DBE CONFIRMATION**  
DES-OE-0102.13 (NEW 05/2015)

Contract no.:

04-235524

Name of DBE business:

JJ Nguyen, Inc.

Name of DBE representative:

DBE certification number:

35310

Name of bidder:

DeSilva Gates Construction

Name of prime contractor if different from the bidder:

Name of representative of bidder or prime contractor:

Date:

12/8/2016

Bid item number	Item of work and description of services to be subcontracted or materials to be provided <sup>1</sup>	Amount (\$)
31	Remove Irrigation Facility	6,000
60	Roadside Clearing	3,000
62	Maintain Existing Planted Areas	5,000
63	Decomposed Granite	6,200
64	Edging	3,200
65	24-32 Station Irrigation Controller	33,000
68	2" Plastic Pipe (Schd 40) (Supply Line)	1,200
69	4" Plastic Pipe (Sched 40) (Supply Line)	26,000
70	8" Corrugated High Density Polyethylene Pipe Conduit	23,400

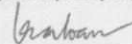
<sup>1</sup>If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

Total

\$107,000

As an authorized representative of a certified disadvantaged business enterprise, I confirm that my business was contacted by the bidder or prime contractor shown above regarding the contract shown above. If the bidder is awarded the contract, my business will enter into a contractual agreement with the bidder or prime contractor to perform the type and dollar amount of work shown on the DBE Commitment form.

I certify under penalty of perjury that the foregoing is true and correct.



Signature of DBE's authorized representative:

Vivian Tran

Printed name of DBE's authorized representative:

Office Manager

Title of DBE's authorized representative:

12/8/16

Date:

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Contract No. 04-235524

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**DBE CONFIRMATION**  
DES-OE-0102.13 (NEW 05/2015)

Contract no.:

04-235524

Name of DBE business:

Dirt & Aggregate Interchange

Name of DBE representative:

DBE certification number:

20

Name of bidder:

DeSilva Gates Construction

Name of prime contractor if different from the bidder:

Name of representative of bidder or prime contractor:

Date:

12/8/2016

Bid Item number	Item of work and description of services to be subcontracted or materials to be provided <sup>1</sup>	Amount (\$)
139	End Anchor Assembly (Type SFT)	7,500
140	Alternative In-Line Terminal System	6,000
141	Alternative Flared Terminal System	13,750
142	Smart Crash Cushion	86,500
137	Midwest Guardrail System	37,200
138	Transition Railing (Type WB-31)	18,000

<sup>1</sup>If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

Total 168,950

As an authorized representative of a certified disadvantaged business enterprise, I confirm that my business was contacted by the bidder or prime contractor shown above regarding the contract shown above. If the bidder is awarded the contract, my business will enter into a contractual agreement with the bidder or prime contractor to perform the type and dollar amount of work shown on the DBE Commitment form.

I certify under penalty of perjury that the foregoing is true and correct.

Signature of DBE's authorized representative:

Henry H. Pelfrey, President

Printed name of DBE's authorized representative:

President

Title of DBE's authorized representative:

December 8, 2016

Date:

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Contract No. 04-235524

[Back To Query Form](#)

## Search Returned 1 Records

Tue Dec 06 16:35:02 PST 2016

### Query Criteria

Firm/DBA Name: JJ Nguyen

Firm Type: DBE

Firm ID	35310
Firm/DBA Name	JJ NGUYEN, INC.
Address Line1	3172 SALEM DRIVE
Address Line2	
City	SAN JOSE
State	CA
Zip Code1	95127
Zip Code2	
Mailing Address Line1	
Mailing Address Line2	
Mailing City	
Mailing State	
Mailing Zip Code1	
Mailing Zip Code2	
Certification Type	DBE
EMail	vltran1@yahoo.com
Contact Name	VY TRAN
Area Code	( 408 )
Phone Number	259-7982
Alt Area Code	( )
Alt Phone Number	
Fax Area Code	( )
Fax Phone Number	
Agency Name	SAN MATEO COUNTY TRANSIT DISTRICT (SAMTRANS)/JPB
Counties	01; 21; 27; 34; 38; 43;
Districts	03; 04; 05;
DBE NAICS	561730;

### ACDBE NAICS

Work Codes	C0620 LANDSCAPING & NURSERY SUPPLIER; C9867 LANDSCAPING CONTRACTOR;
Licenses	C27 Landscaping Contractor;
Trucks	
Gender	M
Ethnicity	ASIAN PACIFIC
Firm Type	DBE

[Back To Query Form](#)



[Back To Query Form](#)

## Search Returned 1 Records

Tue Dec 06 16:33:50 PST 2016

### Query Criteria

Firm/DBA Name: Dirt & Aggregate

Firm Type: DBE

Firm ID	20
Firm/DBA Name	DIRT & AGGREGATE INTERCHANGE, INC
Address Line1	20905 N.E. SANDY BOULEVARD
Address Line2	
City	FAIRVIEW
State	OR
Zip Code1	97024
Zip Code2	9772
Mailing Address Line1	
Mailing Address Line2	
Mailing City	
Mailing State	
Mailing Zip Code1	
Mailing Zip Code2	
Certification Type	DBE
E-Mail	contractor@dirtagg.com
Contact Name	HENRY PELFREY
Area Code	( 503 )
Phone Number	661-5093
Alt Area Code	( )
Alt Phone Number	
Fax Area Code	( 503 )
Fax Phone Number	669-1192
Agency Name	DEPARTMENT OF TRANSPORTATION
Counties	02; 04; 06; 08; 09; 11; 12; 14; 17; 18; 23; 25; 26; 29; 31; 32; 34; 45; 46; 47; 51; 52; 53; 57; 58;
Districts	01; 02; 03; 09; 10;
DBE NAICS	237310;

### ACDBE NAICS

### Work Codes

C0683 GUARD RAILINGS & BARRIERS SUPPLIER; C1000 WHOLESALE BROKER OF CONSTRUCTION MATERIALS; C1522 RESET, ADJUST ROADWAY ITEMS; C1601 CLEARING & GRUBBING; C1901 ROADWAY EXCAVATION; C1920 STRUCTURE EXCAVATION; C1970 EMBANKMENT CONSTRUCTION; C5105 MINOR CONCRETE STRUCTURE; C8201 OBJECT MARKER; C8320 METAL BEAM GUARD RAILING; C8330 METAL RAILING; C8391 METAL BEAM BARRIER;

### Licenses

A General Engineering Contractor; C32 Parking and Highway Improvement Contractor;

### Trucks

### Gender

M

### Ethnicity

ASIAN PACIFIC

### Firm Type

DBE

[Back To Query Form](#)

**DESILVA GATES**  
C O N S T R U C T I O N

11555 Dublin Boulevard  
P.O. Box 2909  
Dublin, California 94568-2909  
(925) 829-9220  
[www.desilvagates.com](http://www.desilvagates.com)  
CONTRACTORS LICENSE NO. 704195

December 9, 2016

State of California  
Department of Transportation  
MSC 43  
Office Engineer  
1727 30<sup>th</sup> Street  
Sacramento, A 95816

Attn: Public Works Department

**Subject: CONTRACT NO. 03-4235524 ON ROUTE 92 FROM ALAMEDA DE LAS PULGAS OVERCROSSING TO THE HAYWARD PARK OVERHEAD AND ON ROUTE 82 FROM WEST 20<sup>TH</sup> AVENUE TO 17<sup>TH</sup> AVENUE– Federal Aid Project ACNH-X081(030)E**

**Re: DBE Documents – Bid Date: December 6th, 2016**

To Whom It May Concern:

Enclosed please find the following documents, for the above referenced project, for your review:

- 1) DBE Commitments
- 2) DBE Confirmations

Please call our office if you have any questions. When you receive this packet, please fax back a confirmation letting us know that you are in receipt of our package to 925/803-4263.

Sincerely,

DESILVA GATES CONSTRUCTION, L.P.

  
Gail Pierson

Contract Administrator  
Enclosures

**DESILVA GATES  
CONSTRUCTION, L.P.**

**DBE Commitment Documents**

**State of California  
Department of Transportation**

**Route 92  
Contract No. 03-235524**

**Bid Date: 12/6/2016**

**State of California  
Department of Transportation  
1727 30<sup>th</sup> Street  
MS 43  
Sacramento, CA 95816**

**Ph: 916/227-6299**